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TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number 10/778,009

Filing Date February 11, 2004

First Named Inventor Erik C. Scher

Group Art Unit 1753

Examiner Name Unassigned

Attorney Docket Number 40-001330US

ENCLOSURES (check all that apply)						
Fee Transm	ittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group			
Fee A	Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences			
Amendmen	t / Response	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
Afte	r Final	Petition Routing Slip (PTO/SB/69) and Accompanying Petition	Proprietary Information			
Affid	lavits/declaration(s)	Petition to Convert to a Provisional Application	Status Letter			
Extension of Time Request		Power of Attorney, Revocation Change of Correspondence Address	Additional Enclosure(s) (please identify below):			
Express Abandonment Request		1 1 1 .	PTO form 1449, cited references and receipt acknowledgment postcard			
X Information Disclosure Statement			acknowledgment postcard			
		Request for Refund	·			
Certified Co	opy of Priority s)	Authorization to Charge Deposit Account Please charge Deposit Account No. 50-0893 for any additional fees associated with				
Response to Missing Parts/ Incomplete Application		this paper or during the pendency of this app for consideration of the documents enclosed	olication, including any extensions of time			
·	• •	Remarks				
Response to Missing Parts under 37 CFR						
1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm or Jonathan Alan Quine, Reg. No. 41,261 for Monicia Elrod-Erickson, Reg. No. 51,651 Individual name						
Signature July 23, 2004						
Date	Date July 23, 2004					
CERTIFICATE OF MAILING						
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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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Juliana Hermes

Signature Man Ale

Date

July 23, 2004



QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

Juliana Hermes

Attorney Docket No. 40-001330US Client Ref. No. 01-001330US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Erik C. Scher, et al.

Application No.: 10/778,009

Filed: February 11, 2004

For: NANOSTRUCTURE AND

NANOCOMPOSITE BASED

COMPOSITIONS AND

PHOTOVOLTAIC DEVICES

Examiner: Unassigned

Art Unit: 1753

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37

CFR § 1.97 and

§ 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

Erik C. Scher, et al.

Application No.: 10/778,009

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representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action on the merits per 37 CFR 1.97(b)(3). However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Jonathan Alan Quine, Reg. No. 41,261 for

Monicia Elrod-Erickson

Reg. No. 51,651

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PTO/SB/08A (04-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449A

Complete if Known 10/778,009 **Application Number** February 11, 2004 Filing Date First Named Inventor Erik C. Scher Group Art Unit 1753 **Examiner Name** Unassigned Attorney Docket Number 40-001330US **Date Submitted** July 23, 2004

(use as many sheets as necessary)

U.S. PATENT DOCUMENTS						
	U.S. Patent Document		Name of Patentee or Applicant of	Date of Publication of	Pages, Columns, lines,	
Examiner Initials	Cite No.	Number	Kind Code (if known)	Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appeal
/T.T/	1	2003/0145779	A1	Alivisatos et al.	08-07-2003	
/TT/	2	2004/0003838	A1	Curtain	01-08-2004	
/TT/	3	2004/0095658	A1	Buretea et al.	05-20-2004	
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FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.	Office	Foreign Patent Docume	ent Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	т		
/TT/	4	Coe (2002) "Electroluminescence from single monolayers of nanocrystals in molecular organic device" Nature 420:800-803			
/TT/	5	Yakimov et al. (2002) "High Photovoltage multiple-heterojunction organic solar cells incorporating interfacial metallic nanoclusters" <u>Applied Physics Letters</u> 80:1667-1669			
/TT/	6	Yang et al (2003) "Improvement of the performance of polymer/C60 photovoltaic cells by small-molecule doping" Synthetic Metals 137:1521-1522.			
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Examiner	/Thanh True Triph/	Date	10/14/2007
Signature	/Inanh Iruc Irinh/	Considered	10/14/2007

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.